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**FACSIMILE TRANSMISSION**

DATE: April 18, 2000

TO (FIRM): U.S. Patent and Trademark Office

ATTN: MS. COPLAND

FAX NO.: (703) 306-4003 TELEPHONE: \_\_\_\_\_

FROM: Paul I. Kravetz

RE: \_\_\_\_\_

SERIAL NO: 09/248,103 OUR DOCKET: 614.1921\PIK

NO. OF PAGES (Including this Cover Sheet) 13

**PRIVILEGED & CONFIDENTIAL**

The information contained in this communication is confidential, may be attorney-client privileged, and is intended only for the use of the addressee(s). Unauthorized use, disclosure or copying is strictly prohibited. If there are any problems with this transmission, please contact us immediately.

**COMMENTS:**

Further to our conversation of early today, enclosed herewith find a copy of the papers filed on May 3, 1999, in response to the Notice to File Missing Parts, as evident by the enclosed date-stamped postcard.

If you have any further question, please feel free to contact my assistant, Adele Durr, at (202) 454-1576

Docket No. 614.1921

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

:

NAKAZAWA et al.

:

Serial No.: 09/248,103

: Group Art Unit: 2731

Filed: February 11, 1999

: Examiner: Not Assigned

For: ACOUSTO-OPTICAL TUNABLE FILTERS CASCADED TOGETHER

LETTER TO THE OFFICIAL DRAFTSPERSON  
TO SUBMIT FORMAL DRAWINGSHonorable Commissioner of  
Patents and Trademarks  
Washington, D.C. 20231

Sir:

Enclosed are formal drawing for the above-referenced case. Please enter the formal drawings.

Respectfully submitted,

STAAS &amp; HALSEY LLP

By

Paul I. Kravetz  
Registration No. 35,230Dated May 3, 1999700 11th Street, N.W.  
Suite 500  
Washington, D.C. 20001  
(202) 434-1500

945  
614.1921/PIK  
5299 M.P. Shrchg, Decl.



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO/TITLE
U9/248,103	02/11/99	NAKAZAWA	T 614.1921/PIK
		0242/0002	NOT ASSIGNED
		ATTN PAUL I KRAVETZ 700 ELEVENTH STREET N W SUITE 500 WASHINGTON DC 20001	2731
			DATE MAILED: 03/02/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
*Filing Date Granted*

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of Items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of  \$85.00 for a small entity in compliance with 37 CFR 1.27, or  \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

*If all required items on this form are filed within the period set above, the total amount owed by applicant as a  
 small entity (statement filed)  non-small entity is \$ 130.*

1. The statutory basic filing fee is:

missing.  
 insufficient.

Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

2. The following additional claims fees are due:

\$ \_\_\_\_\_ for \_\_\_\_\_ total claims over 20.

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

3. The oath or declaration:

is missing or unsigned.

does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

5. The signature of the following joint Inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted Inventor(s), identifying this application by the above Application Number and Filing Date, is required.

6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

7. Your filing receipt was mailed in error because your check was returned without payment.

8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

*Deputy Bell*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

Docket No. 614.1921

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

NAKAZAWA et al.

Serial No.: 09/248,103

: Group Art Unit: 2731

Filed: February 11, 1999

: Examiner: Not Assigned

For: ACOUSTO-OPTICAL TUNABLE FILTERS CASCADED TOGETHER

SUBMISSION OF DECLARATION TO COMPLETE  
APPLICATION UNDER 37 C.F.R. §1.53(d)*Assistant Commissioner for Patents*  
Washington, D.C. 20231

Attention: Box Missing Parts

Sir:

Pursuant to 37 C.F.R. §1.53(f) and in response to the U.S. Patent and Trademark Office Notice To File Missing Parts Of Application - Filing Date Granted mailed March 2, 1999, enclosed is the Combined Declaration/Power of Attorney executed by the inventors for completing the missing parts of the subject application. Also enclosed is a check for \$170.00, which is payment for the surcharge of \$130.00 as set forth in 37 C.F.R. §1.16(e), and Assignment Recordation fee of \$40.00. The Assignment recordation papers are being filed concurrently herewith.

It is requested that this Combined Declaration/Power of Attorney be entered in the file for the above-referenced application and that the application be advanced to examination.

-2-

Serial No.:09/248,103

If any further fees are required in connection with the filing of this paper, please charge the same to our Deposit Account No. 19-3935.

Respectfully submitted,  
STAAS & HALSEY LLP

By:   
Paul I. Kravetz  
Registration No. 35,230

700 Eleventh Street, N.W.  
Washington, D.C. 20001  
(202) 434-1500

Dated: May 3, 1999